



Principal Investigator Certification of Compliance with Federal Requirements (SF424 Application)

Department Policy/Procedure

Campus: All	Approved: February 12, 2007
Department: All	Next Review: February 2009

Purpose

To define the required elements of assurances provided by the Principal Investigator applying for federal funds.

Population Covered

All Principal Investigators associated with Swedish Health Services intending to submit research proposals to NIH or other federal agencies.

Responsible Persons

Principal Investigators, SRC Administration

Definitions

- PI: Principal Investigator
- SRC: Swedish Research Center
- PHS: Public Health Service
- HHS: Health and Human Services

Supplemental Information

N/A

Content

All organizations applying for federal funds are required to secure and retain a written assurance from the Principal Investigator (PI) prior to submitting an application to the PHS in the Investigator’s name. Each submitted application requires a new certification to be completed and filed in the SRC administrative file along with the final copy of the grant application. If multiple PIs are proposed in an application, this assurance must be retained for all named PIs. This assurance must be available to the sponsoring agency or other authorized HHS or Federal officials upon request.

Steps

Assurance must include the following certifications:

1. That the information submitted on the application is true, complete and accurate to the best of the PI's knowledge,
2. That any false, fictitious, or fraudulent statements or claims may subject the PI to criminal, civil, or administrative penalties; and
3. That the PI agrees to accept responsibility for the scientific conduct of the project and to provide the required progress reports if a grant is awarded as a result of the application.

The assurance form is available by request from SRC administration.

Expert Consultant

N/A

Author

David Story, Grants and Contracts Analyst

Regulatory Requirement

Grants.gov
NIH

References

Grants.gov, Application Guide, SF424 (R&R)
Version 2, November 13, 2006
T. Principal Investigator Assurance
http://grants1.nih.gov/grants/funding/424/SF424_RR_Guide_General_Ver2.doc#_Toc144091340

Manual

SRC Internal Policy and Procedure Manual

Additional Computer Search Words/Terms

N/A

Addendum (Addenda, if plural)

Certification of Principal Investigator



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CERTIFICATION OF PRINCIPAL INVESTIGATOR

(To be signed by each PI or Co-PI and maintained on File by the Institution)

Title of Project: _____

Principal Investigator: _____

Grant Number: _____

Total Project Period: _____

Budget Period: _____

ASSURANCE:

As Principal Investigator on this Grant, I hereby certify that:

- a) The statements herein are true, complete and accurate to the best of my knowledge.
- b) I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.
- c) I agree to accept responsibility for the scientific conduct of the project and to provide the required progress reports if a grant is awarded as a result of this application.

SIGNATURE OF PI NAMED ABOVE:

DATE:
